



<25. &\$7+2/, &', 675, &7 6&+22/ %2\$5'

352&('85(  
5HFRUGV DQG , QIRUPDWLRQ 0DQDJHPHQW

\$GGHQGX PWR 3ROLF\ 5HFRUGV DQG , QIRUPDWLRQ 0DQDJHPHQW

(IIHF WIXQHH  
5HYLVHG -XQH

35( \$0 %/ (

7KH5HFRUGV DQG ,QRUP DM\RQ0 DQ

/ (\* ,6/ \$7,21

‡ 7R SURYLGHDWKWRI DFFHWRQV LQJ RUP DMIRQ XQGHUWKH RI LQJ RUP DMIRQ VHW / LQ DFFRLQGDQFH  
Z LWWKWH SUQFLSÖVN WKDW  
‡ 7R HQVXUH LQJ RUP DMIRQ VHW DYDLOEGI VR WKH SXE OF  
‡ 7R HQVXUH QFHFMWDU LQV IURP WKH LWWKWRI DFFHWRQV LQJ RUP DMIRQ VHW LQG VSHFLIF  
‡ 7R HQVXUH GHFLMRQV LQV FGRVXUH RI LQJ RUP DMIRQ VKRQG LQGHSHQGHQV RI  
WKH LQMMIRQ FRQRPLQJ RUP DMIRQ DQG  
‡ 7R SURMFWKWH SUYDQWLQGXDOZLWWKUHVSHFWVR SHURQV LQJ RUP DMIRQ DERXWVHP VHOHV  
KHQEV LQMMIRQV DQG VR SURYLGHLQGLYLGXDQZLWWKDWWKQJ RUP DMIRQ

7KH0 XQLFLSDO) UHGRP RI ,QIRUP DMIRQ DQG 3URMFMRQR RI 3ULYDF\ \$FWV GLYLGHG LQMR IRXU SDUW

3 DUW ) UHGRP RI ,QIRUP DMHQGHDQZ LVA VHV UU KVRI DFFFHW VR UHFRUGV VH H HP SWRQV VR WDVW  
ELC 6 SWA48D QKVWDQG DFFFHW SURFHGXUAV 6 HFVRQV X fg '2w48D

3 DUW, 3 URWFIRQ RI , QGLYLGXD08 UIYDF FRQFHUQV VH FRQFHUQ XH DQG GLVFRVXUH RI SHURQDO  
KJ LQJ RUP DMRQ 7 KLV SDUDOR GHDOV Z LK DQJLQGLYLGXD08 UU KWRI DFFHW VR KLV RUKHURZ Q  
SHURQDQJ RUSEMRQ DQG VH UU KWRI UHDXKWWFRUHFIRQ RI VWDWLRQ RUP DMRQ 6 HFIRQ  
RSBQ DQJ H RSBQ DQJ H RSBI DQG  
RSBQ DQJ H RSBQ DQJ H RSBI DQG



- ' LUFVURURI ( GXFDMRQ
- 7R RYHUVH FRP SODQFH ZLWK WKH 5 HFRUGV DQG , QIRUP DMVRQ O DQDJ HP HQWBRQF 3 URFHGXUHV DQG 3 URJUDP
- 7R SURYLVH WKH DGP LQLWLDVWH SURFHGXUHV QHFHVWDU VR LP SDIP HQWMLV SRQF
- ⊕ 6 XSHULQMQGHQW \$ GP LQLWLDVRU/ 6 HQRLUO DQDJ HV/ DQG O DQDJ HV/
- 7R DWXP H UHVSROQMELOV IRUWKH LQIRUP DMVRQ DQG UHFRUGV WKH FUHDW DQG P DLQWILQ
- 7R RYHUVH FRP SODQFH ZLWLQ WKH UCHSDUW HQWR RI WKH 5 HFRUGV DQG , QIRUP DMVRQ 3 RQF DQG

‡ 7R WDFN D01LOI IRQHJU VRUDJ H ERJ HM  
FHQM U

‡ 7R VFKHG X01 WDFN DQG P DQDJ H VWH F

‡ 7R XVH DSSURSUDW ILOI VXSSO H DQG H

‡ 7R WDQM HUAR LQDFVYH VRUDJ H RUDUFK  
RIIEH

‡ 7R FRQGXFWDQ DQQX DOSXUJH RI ERDUG

\$ OWMII DUH UHVS RQME OI RU

‡ & UHDWQJ ERDUG UHFRUGV I Q D V J P HQ  
DFWIRQV VDHQ I RZ KLFK VWH DUH UH  
‡ 0 DLQDQJ ERDUG UHFRUGV VR VD  
QHHGHG 7KLV P HDQV VHWDQJ XS D  
IRUP DW LQ DFFRUGDQFH Z LK VVW V  
\$ O' HSDUW HQMD05 HFRLGV HDQF  
& OWMIFDWRQ DQG 5 HMQMRQ 6 F  
GGH , COETK • VVUHFRUGVSHUED VWH GHSDUW HQMF  
HM CXOI 0 DQDJ HP HQW6 HUJFHV Z KR XI  
HMDSW 18D 6 Z KHUH DSSOFDEO H  
HMDSW 18D 6 & DUVLQJ RXWVH GWW48D  
6 HUHV

HUVR GRFXP HQWDOGHFLVRQV DQG  
HM LQIRUP DMRQ FDQ EH IRXQG Z KHQ  
U ILOV DQG ILOQJ P DMUDQY I Q DQ  
FHGXUHV  
VHULHV DV VHMRXWQ VWH <&' 6 %  
D <&' 6 % UHFRUG LV QRWQAMG LQ D  
HFRLGV , QIRUP DMRQ DQG \$ UFKLYH  
RU FUHDW D QHZ 5 HFRLGV

- /
- ‡ 6 DIHJ XDLG IURP IP SURSHUGLVFRVXUH XVH GLVSRVWLRQ RUGHWKFWRQ LQ DFFRLGDQFH ZLWK  
GU DO SUYDF\ DSSDFDE\I SUYDF\ GU LVDMRQ DQG <&' 6 %\V 3 UYDF\ 3 R\A DQG\* XLGHQHV  
DQG SR\A RE\Q DM\RV ) RUERDUG UHFRUGV FRQW\QJ SHUVRQDOLQJ RUP DM\RV UH\HUV<&' 6 %\  
3 UYDF\ & RGH 6 DIHJ XDLG YLWDQERDUG UHFRUGV DQG FRQW\QJ HQF\ P DQDJ HP HQWRI ERDUG  
UHFRUGV
  - ‡ ,QMDOSURSHUFRQW\Q\ DQG J XLGHQHV IURP DQDJ LQJ <&' 6 %\V ERDUG UHFRUGV ZLWLQ WRUDJ H  
DM\RV<&' 6 %\DSSDFKRXVH HERD

&OWWLFDMRQ DQG 5 HMQMRQ 6 FKHGXOI  
\$ VFKHGXOI VHWDQJ RXWD IXQFMRQDORUJ DQJ DMQDOWKFWUH IRUFOMIA LQ RI IFIDOUHFRUGV DQG  
DSSQFDEOI UHMQMRQ SHURGV GHYHBSHG VRURXJK D UHMLHZ RI VHHERDUG IQRUP DMQDQ DWHV DQG  
GHSDUVR HQDOFRQXODMRQ 7KLV GHQWHLV OUDOUHTXLHP HQW RSHUDMRQDOQHGV DQG UHFRUGV  
P DQDJHP HQWEHMSUDFWFHV

,IQRUP DMQDQ \*

0 RWK&' 6 %UHFRUGV IDOQQR RQH RI VWH 3 UP DU &OWWLFDMRQV EHOBZ EDHG RQ VWH WSH VR Z KFK  
VWH LQI RUP DMRQ LV UHOMG ) RUH DP SGI D FRQWDFWUHOMV VR D YHQGRUDQG VWHUH RUH IDOY LQR VWH  
9 HQGRU&OWWLFDMRQ %RDUG ( P SGI HH 6 VGHQW9 HQGRU 3 URSHUW 3 URWFW

7KH 3 UP DU 1 DP H LV VWH GRP LQDQWGHMFUWIRQILHOS XVHG VR UHMLYH VWH UHOMDQWQ RUP DMRQ RI VWH  
ILQI  
7KH IRQZ LQJ OWHDFK RI VWH 3 UP DU fRgñ Dy9Ty•s• 'sC• W D Y

\$SSHQGL \$

\$V\rightarrow 0